

12/28/01  
JC962 U.S. PTO

01-09-02

# UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

25216-0881

J1011 U.S. PTO  
10/040584  
12/28/01

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## TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Samuel Kho, entitled MENU NAVIGATION & OPERATION FEATURE FOR A HANDHELD COMPUTER, for a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_.

( ) A statement claiming priority under 35 USC § 120 has been added to the specification.

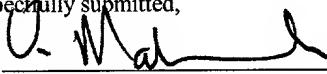
Enclosed are:

(X) Specification 30 Total Pages; (X) Drawing(s) 4 Total Sheets; (X) Cover Sheet 1 Page  
(X) Oath or Declaration: 2 Pages  
(X) A Newly Executed Combined Declaration and Power of Attorney:  
(X) Signed. ( ) Unsigned. ( ) Partially Signed.  
( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
( ) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference in its entirety for all purposes.  
( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
( ) Power of Attorney. (X) Return Receipt Postcard.  
( ) Associate Power of Attorney. (X) A Check in the amount of \$1032.00 for the Filing Fee.  
( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  
( ) Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
(X) A Duplicate Copy of this Form for Processing Any Deficiency or Over Payment of Fee Against Deposit Account.  
( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
( ) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.  
( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
(X) Recordation of Assignment Cover Sheet and **executed Assignment**.  
( ) Other: \_\_\_\_\_

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	34	14	\$18.00	\$ 252.00
Independent Claims	3	0	\$84.00	\$ 0.00
Multiple Dependent Claims (if applicable)				\$ 0.00
Assignment Recording Fee				\$ 40.00
Basic Filing Fee				\$ 740.00
			Total Filing Fee	\$1032.00

Charge \$ \_\_\_\_\_ to Deposit Account \_\_\_\_\_ pursuant to 37 CFR § 1.25. Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:   
Van Mahamed  
Attorney of Record, Reg. No. 42,828

Date: December 28, 2001

Correspondence Address:

Express Mail Label No.: EL734970989US

Date of Deposit: December 28, 2001



29989

PATENT TRADEMARK OFFICE

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision,  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 AND 1.28

TOTAL AMOUNT OF PAYMENT (\$ 1032.00)

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Samuel KHO
Examiner Name	
Group/Art Unit	
Attorney Docket No.	25216-0881

## METHOD OF PAYMENT (check one)

1.  Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Deposit Account Number 50-1302

Deposit Account Name Hickman Palermo Truong &amp; Becker, LLP

2.  Payment Enclosed:

Check  Money Order  Other

3.  Applicant(s) is entitled to small entity status.  
See 37 CFR 1.27

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Fee \$	Small Entity Fee Code	Fee \$	Fee Description	Fee Paid
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105 130 205 65 Surcharge – late filing fee or oath

127 50 227 25 Surcharge – late provisional filing fee or cover sheet.

139 130 139 130 Non-English specification

112 920\* 112 920\* Requesting publication of SIR prior to Examiner action

113 1,840\* 113 1,840\* Requesting publication of SIR after Examiner action

115 110 215 55 Extension for reply within first month

116 400 216 200 Extension for reply within second month

117 920 217 460 Extension for reply within third month

118 1,440 218 720 Extension for reply within fourth month

128 1,960 228 980 Extension for reply within fifth month

119 320 219 160 Notice of Appeal

120 320 220 160 Filing a brief in support of an appeal

121 280 221 140 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive – unavoidable

141 1,280 241 640 Petition to revive – unintentional

142 1,280 242 640 Utility issue fee (or reissue)

143 460 243 230 Design issue fee

144 620 244 310 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional applications

126 240 126 240 Submission of information Disclosure Stmt

581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00

146 690 246 345 Filing a submission after final rejection (37 CFR § 1.129(a))

149 690 249 345 For each additional invention to be examined (37 CFR § 1.129(b))

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Van Mahamed	Registration No. (Attorney/Agent)	42,828	Telephone	(408) 414-1080
Signature				Date	December 28, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Express Mail No. EL734970989US